11321-P022WUD3 PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application:

Tour, et al.

èrial No.:

10/632,284

Filed:

August 1, 2003

Group Art Unit:

1754

Examiner:

Unknown

Title:

Process for Making Polymers Comprising

Derivatized Carbon Nanotubes and

Compositions Thereof

Mail Stop: Amendment Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Certificate of Mailing Under 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop:
Amendment, Commissioner for Patents, P.O. Box 1450,
Alexandria, VA 22313-1450, on the date indicated below

Signature

Printed: Jessine E. Dunn

Date: January 29, 2004

### REQUEST FOR CORRECTED FILING RECEIPT

Attached is a copy of the official Filing Receipt mailed by the PTO on November 14, 2003, for which issuance of a corrected filing receipt is respectfully requested. Specifically, applicant wishes to correct the Domestic Priority data on the Filing Receipt to read as follows:

Divisional of 10/470,517, filed 07/29/03; which is a 371 of PCT/US02/02562, filed 01/29/02; which claims priority to and benefit of 60/264,784, filed 01/29/01; 60/272,903, filed 03/02/01; 60/316,501, filed 08/31/01; and 60/316,521, filed 08/31/01.

This priority information was correctly identified in both the Preliminary Amendment and the Application Data Sheet, with the exception of the serial number of the parent, which had not been received at the time of filing of this application. Attached is the corrected Filing Receipt and a revised Application Data Sheet for your records.

The errors identified are not due to any error by applicant and applicant does not believe that any fee is due at this time. If a fee is required, the Commissioner is authorized to charge the 11321-P022WUD3 PATENT

Winstead Sechrest & Minick P.C. deposit account number 23-2426 (referencing matter 11321-P022WUD3) for the fees necessary to correct this Filing Receipt.

Date:

1/29/04

Respectfully submitted,

Ross Spencer Garsson

Reg. No. 38,150

WINSTEAD SECHREST & MINICK, P.C.

P.O. Box 50784

Dallas, TX 75250-0784

Tel. (512) 370-2870

Fax (512) 370-2850

HOUSTON\_1\701844\1 11321-P022WUD3 01/29/2004



#### United States Patent and Trademark Office

RTMENT OF COMMERCE ind Trademark Office VER FOR PATENTS

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/632,284	08/01/2003	1754	654	11321-P022WUD3	12	37	6

Ross Spencer Garsson Winstead Sechrest & Minick P.C. P.O. Box 50784 1201 Main Street Dallas, TX 75250-0784

**CONFIRMATION NO. 5081** 

# DOCKETED

Date Mailed: 11/14/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

James M. Tour, Bellaire, TX; Jeffrey L. Bahr, Houston, TX; Jiping Yang, San Jose, CA;

#### **Assignment For Published Patent Application**

William Marsh Rice University, Houston, TX;

Domestic Priority data as claimed by applicant Divisional of 101470,517, filed 7/29/03;

which is a 371 of PCTIOSOZIOZ562, filed which is a 371 of PCTIOSOZIOZ562, filed which is a 371 of PCTIOSOZIOZ562, filed 1/29/02; claims priority to and benefit of 1/29/02; claims priority to and benefit of 60/264, 784, filed 1/29/01; and 60/316; and 60/316; filed 8/31/01;

Projected Publication Date: To Be Determined - pending completion of Secretary 2009.

Projected Publication Date: To Be Determined - pending completion of Security Review and 60/316, 521, filed 8/31/01.

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Process for making polymers comprising derivatized carbon nanotubes and compositions thereof

#### **Preliminary Class**

423

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).